

**DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**REAGENTS AND METHODS FOR IDENTIFYING AND MODULATING EXPRESSION OF GENES
REGULATED BY p21**

the specification of which is attached hereto unless the following space is checked:

☒ was filed on November 29, 1999 as United States Application Serial Number 09/449,589.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s):

	<u>Number</u>	<u>Country</u>	<u>Day/Month/Year Filed</u>
1.			

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

	<u>Application Number</u>	<u>Filing Date</u>
1.	60/128,676	4/9/99

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

	<u>Application Number</u>	<u>Filing Date</u>	<u>Status: patented, pending, abandoned</u>
1.			

I hereby appoint the following attorneys and agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Denis A. Berntsen	Reg. No. 26707	Anthoula Pomrening (agent)	Reg. No. 38805
John J. McDonnell	Reg. No. 26949	George I. Lee	Reg. No. 39269
Daniel A. Boehnen	Reg. No. 28399	James M. McCarthy	Reg. No. 39296
Bradley J. Hulbert	Reg. No. 30130	Jeremy Noe (agent)	Reg. No. 40104
Paul H. Berghoff	Reg. No. 30243	Sean M. Sullivan	Reg. No. 40191
Grantland G. Drutchas	Reg. No. 32565	Amir N. Penn	Reg. No. 40767
Steven J. Sarussi	Reg. No. 32784	Patrick J. Halloran (agent)	Reg. No. 41053
David M. Frischkorn	Reg. No. 32833	Joshua R. Rich	Reg. No. 41269
James C. Gumina	Reg. No. 32898	Thomas E. Wettermann	Reg. No. 41523
A. Blair Hughes	Reg. No. 32901	Vernon W. Francissen	Reg. No. 41762
Thomas A. Fairhall	Reg. No. 34591	Robert J. Irvine	Reg. No. 41865
Emily Miao	Reg. No. 35285	Richard A. Machonkin	Reg. No. 41962
Kevin E. Noonan	Reg. No. 35303	David S. Harper	Reg. No. 42636
Leif R. Sigmond, Jr.	Reg. No. 35680	Stephen Lesavich	Reg. No. 43749
Lawrence H. Aaronson	Reg. No. 35818	Enrique Perez	Reg. No. 43853
Matthew J. Sampson	Reg. No. 35999	Marcus J. Thymian	Reg. No. 43954
Curt J. Whitenack	Reg. No. 36054	S. Richard Carden (agent)	Reg. No. 44588
Christopher M. Cavan	Reg. No. 36475	Mark Chael (agent)	Reg. No. 44601
Michael S. Greenfield	Reg. No. 37142	Stephen H. Docter	Reg. No. 44659
Roger P. Zimmerman	Reg. No. 38670		

Address all telephone calls to Kevin E. Noonan at (312) 913-0001.

Address all correspondence to MCDONNELL BOEHNEN HULBERT & BERGHOFF, 300 South Wacker Drive, Chicago, Illinois 60606 USA.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: Bey-Dih Chang

Inventor's signature: 

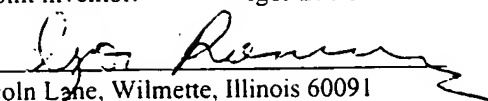
Date: 3/17/00

Residence: 1116 Cambria Lane, Lombard, Illinois 60148

Citizenship: Thailand

Post Office Address: 1116 Cambria Lane, Lombard, Illinois 60148

Full name of second joint inventor: Igor B. Roninson

Inventor's signature: 

Date: 3/17/00

Residence: 2731 Lincoln Lane, Wilmette, Illinois 60091

Citizenship: United States of America

Post Office Address: 2731 Lincoln Lane, Wilmette, Illinois 60091



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JUNE 15, 2000

PTAS

MCDONNELL BOEHNEN HULBERT & BERGHOF
KEVIN E. NOONAN
300 SOUTH WACKER DRIVE
CHICAGO, IL 60606



101326370A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/17/2000

REEL/FRAME: 010699/0766
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
CHANG, BEY-DIH

DOC DATE: 03/17/2000

ASSIGNOR:
RONINSON, IGOR B.

DOC DATE: 03/17/2000

ASSIGNEE:
BOARD OF TRUSTEES OF THE
UNIVERSITY OF ILLINOIS
310 ADMINISTRATIVE OFFICE
BUILDING, 1737 WEST POLK STREET
CHICAGO, ILLINOIS 60612-7227

SERIAL NUMBER: 09449589
PATENT NUMBER:

FILING DATE: 11/29/1999
ISSUE DATE:

010699/0766 PAGE 2

KIMBERLY WHITE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

04-20-2000

Docket No 19,216-A

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
Copyright 1996-97 LegalStar
POBA/REV02

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



101326370

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Bey-Dih Chang and Igor B. Roninson

APR 17 2000

2. Name and address of receiving party(ies):

Name: Board of Trustees of the University of Illinois

Address: 310 Administrative Office Building

1737 West Polk Street

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

City: Chicago

State/Prov.: IL

Country: US

ZIP: 60612-7227

Execution Date: March 17, 2000

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

09/449,589

11-29-99

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kevin E. Noonan

Registration No. 35,303

Address: McDonnell Boehnen Hulbert & Berghoff

300 South Wacker Drive

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

13-2490

City: Chicago

State/Prov.: IL

Country: US

ZIP: 60606

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kevin E. Noonan

April 7, 2000

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and

4

ASSIGNMENT

Case No.: 99,216-A

Serial No.: 09/449,589

Inventors: Bey-Dih Chang and Igor B. Roninson

Date of Execution

of Application:

Filing Date: November 29, 1999

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign to:

Board of Trustees of the University of Illinois

its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

Reagents and Methods for Identifying and Modulating Expression of Genes Regulated by p21

and identified as:

Case No. 99,216-A

in the offices of MCDONNELL BOEHNNEN HULBERT & BERGHOFF and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known, and the date of execution of the application.

The undersigned warrant themselves to be the owners of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrant that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agree, upon the request of said assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignee, its successors and assigns, whereby said Letters Patent will be

held and enjoyed by the said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this 17th day of March, 2000.

Bey-Dih Chang
Bey-Dih Chang

State of ILLINOIS

County of COOK

The foregoing instrument was acknowledged before me this 17th day of

March, 2000 by Bey Dih Chang

Dorothy E Howard
NOTARY PUBLIC



WITNESS my hand and seal this 17th day of March, 2000.

Igor B. Roninson
Igor B. Roninson

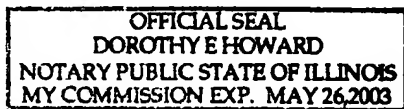
State of ILLINOIS

County of COOK

The foregoing instrument was acknowledged before me this 17th day of

March, 2000 by Igor Roninson

Dorothy E Howard
NOTARY PUBLIC



held and enjoyed by the said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this 7th day of March, 2000.

Bey-Dih Chang
Bey-Dih Chang

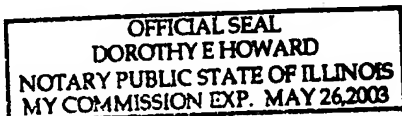
State of ILLINOIS

County of COOK

The foregoing instrument was acknowledged before me this 17th day of

March, 2000 by Bey-Dih Chang

Dorothy E Howard
NOTARY PUBLIC



WITNESS my hand and seal this 17th day of March, 2000.

Igor B. Roninson
Igor B. Roninson

State of ILLINOIS

County of COOK

The foregoing instrument was acknowledged before me this 17th day of

March, 2000 by Igor B. Roninson

Dorothy E Howard
NOTARY PUBLIC

